Ground State and Transition State Contributions to the Rates of Intramolecular and Enzymatic Reactions

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Introduction

Reactions within enzyme–substrate (E-S) complexes share with intramolecular reactions the close proximity of reactants. This proximity effect results in a much larger numerical value of the rate constants when compared to the rate constants of the corresponding bimolecular reaction (eq 1). In essentially strain-free intramolecular reactions, rate enhancements of $10^8$ M have been observed (Table 1). Intramolecular reactions where severe ground state strain is relieved upon formation of the transition state are known to be as large as $10^{16}$ M. Kirby has compiled a compendium of intramolecular reactions and has pointed out the relationship of rate to exothermicity. The importance of ground state conformations and the lack of translational entropy in intramolecular and enzymatic reactions have drawn attention from Menger and ourselves, while Jencks and Page have offered an explanation based on entropic driving forces stemming from the freezing out of motions and the dampening of vibrational frequencies in the transition state. Houk, in a scholarly study, has provided a correlation between rate constants for certain lactonization reactions and transition state stabilization. We provide here an account of our recent computational results dealing with the driving forces for enzymatic and intramolecular reactions.

Near Strain Free Intramolecular Reactions

Ground State Conformations. The relationship of the relative stability of NACs and rate constants have been studied with a series of intramolecular reactions of dicarboxylic acid monoesters which yield five- and six-

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Table 1. List of Monophenyl Esters Used and Their Relative Rate Constants

<table>
<thead>
<tr>
<th>Reaction</th>
<th>k_{rel}</th>
</tr>
</thead>
<tbody>
<tr>
<td>CH₃COO⁻ + CH₃COOC₆H₄Br(p)</td>
<td>1.0</td>
</tr>
<tr>
<td>I</td>
<td>$-1 \times 10^3$ M</td>
</tr>
<tr>
<td>II</td>
<td>$-3.6 \times 10^3$ M</td>
</tr>
<tr>
<td>III</td>
<td>$-1.8 \times 10^5$ M</td>
</tr>
<tr>
<td>IV</td>
<td>$-2.3 \times 10^4$ M</td>
</tr>
<tr>
<td>V</td>
<td>$-2.7 \times 10^5$ M</td>
</tr>
<tr>
<td>VI</td>
<td>$-1.3 \times 10^6$ M</td>
</tr>
<tr>
<td>VII</td>
<td>$-8 \times 10^7$ M</td>
</tr>
</tbody>
</table>

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membered cyclic anhydrides (eq 2 and Table 1). The procedure we have employed should be of general use when one wishes to know the mole fraction of some given conformation from all conformations in a system. The first step is to define the geometry of this given conformation. For cyclic anhydride formations with the monoesters of Table 1, the geometry of the NAC was determined to be as shown in Chart 1.8 The NAC is a genuine ground state conformation with reaction centers properly aligned for reaction but at ~3 Å separation, where bond making and breaking have not been initiated, and the carbonyl carbon remains sp². Once the NAC has been defined, the problem can be viewed as consisting of two parts: (a) identifying ground state conformational equilibria, including formation of NACs, and (b) locating the transition state in the conversion of the NAC to product.

Conformations of each monoester of Table 1 were identified by first creating 10 000 to 40 000 spatial isomers by using Saunders' stochastic search method13,14 through MM3(92)15 and discarding duplicates and those structures containing imaginary vibrational frequencies. The remaining unique structures were then individually energy minimized and represent a set of stable conformations. In this manner, we obtained for each monoester a collection of the various conformations, conformational energies, and conformational thermodynamic parameters (G°, H°, and S°). The conformational energies account for such structural aspects as bond eclipsing and gauching, angle distortions, etc. Conformations possessing the features of a NAC (Chart 1) were then identified. Using the energies of the conformations and a weighted Boltzmann distribution, we calculated the mole fraction (P) of conformations present as NACs for each ester. Figure 1 provides the energy distribution of the conformers of the various esters of Table 1. Figure 2 is a plot of log of P vs the log of the experimental relative rate constants (kcat) for anhydride formation. One can conclude from the slope (0.94) of Figure 2 that there is a direct linear free-energy relationship between the log of the fraction of conformations present as NACs and ΔG°. Thus, the rate constants for the reactions of the esters of Table 1 are directly dependent upon the mole fraction of the ground state of each ester present as NACs. When the ground state consists of only NACs, the rate enhancement is 10⁸ M⁻¹ s⁻¹. Figure 3 shows that the values of P for these esters are related to the change in ΔH° for NAC formation rather than the change in phase space entropy (TΔS°). Thus, the activation free energy (ΔG°) is a function of the ground state standard enthalpy (ΔH°) for NAC formation. Illuminati and Mandolini,16 in their classical studies of intramolecular lactonizations, offered support for entropy of activation (ΔS°) as the important factor in the free energy of activation (ΔG°). Reinterpretation17 of these experimental data establishes that ring closure in intramolecular lactone formation with formation of five- and six-membered rings is driven by a favorable ΔH°.

In the cartoon of Chart 2, the black and gray balls represent the reactants of a bimolecular reaction. Covalently connecting the black and gray balls by a three atom bridge provides an intramolecular reactant. In going from the intermolecular reaction to the intramolecular reaction, there is a loss of translational motion. Bruice and Benkovic provided experimental data which showed that for a series of 40 reactions, the division of the
experimental entropy of activation ($T\Delta S^\circ$) by the kinetic order provides, on average, 4.6 kcal/mol. This is equivalent to a $10^{3}$ rate enhancement due to the change in translational entropy upon conversion of a bimolecular reaction to an intramolecular reaction. Rotation around the bonds connecting the black and gray reactants in the intramolecular model allows the existence of many conformers. Each conformer is a separate entity existing at a local energy minimum and characterized by its bond angles and internal energy. The same restrictions in translational and rotational motion are shared by the various conformers. The intramolecular reaction to provide the cyclic product can take place only from near attack conformers (NAC). If the NACs are not inherently stable, work is required to bring the reacting centers together before TS can be entered. This is an expenditure of enthalpy.

**Transition State (TS) Structures.** In anhydride formation from the esters of Table 1, the ground and transition states are anionic. There are sometimes only minor differences between the structures of anionic ground states when calculated by semiempirical quantum mechanical (QM) methods and by ab initio QM methods with or without diffuse functions. However, this is not true in the calculation of anionic TS structures where the correct basis set with diffuse functions must be used to determine correct geometries and energies. We employed the energy gradient method at the RHF/6-31+G(d) level of theory (Gaussian programs) on monoglutarate (I), -succinate (IV), and -3,6-endoxo-$\Delta^4$-tetrahydrophthalate (VII) esters. Because ab initio calculations are very costly in time, only these three representative esters were studied. We find the TS structures, in anhydride formation from I,
**IV** and **VII**, to be essentially identical; they possess essentially the same bond distances and angles (Figure 4).

In the arguments of entropy as being the driving force for enzyme catalysis and intramolecular reactions, it has been proposed that the restriction or dampening of low-frequency vibrations allows the attainment of a more favorable entropy of activation. However, little has been done to calculate and verify these speculations. One might feel comfortable believing that when two molecules, each having three degrees of translational motion and three degrees of rotational motion, meet and form an ES complex, the complex will have converted the six extra degrees of motion to new vibrations at the interface of the two molecules, increasing the total number of vibrations. Why then would moving from the complex to the transition state dampen or restrict these existing vibrations? This concept seems to be widely accepted. Using ab initio intrinsic reaction coordinate (IRC) calculations in the gas phase, the intramolecular reaction of an anion ($\text{CO}_2^-$) with a neutral substrate ($\text{CO}_2\text{-Ph}$) provides an intramolecular ion–molecule complex (IIMC) for reactions of **I** and **IV** and a tetrahedral intermediate (TI) for reaction of **VII** (eq 3).

\[
\text{IMC} \rightarrow \text{anhydride} + \text{C}_6\text{H}_5\text{O}^-(3)
\]

Figure 5 is a graphical representation of the calculated vibrational frequencies for the TSs and the IIMCs (or TI). The imaginary (negative) frequencies characterize the transition states. We find that the total number of frequencies does not change in going from the IIMC (or TI) to the TS for each ester. Knowing that the lower frequencies contribute more to the entropy of activation, and following the Page and Jencks criteria of frequencies between compounds, we have taken the ratio of the number of low frequencies (below 1000 cm$^{-1}$) to the total number of vibrations. The other implication from the Page and Jencks criteria of frequencies contributes more to the entropy of activation, 6 the number of lower frequencies remains constant in going from the IIMC (or TI) to the TS. The energy difference between NAC and TS cannot be directly calculated. The closest structure to a NAC obtained by ab initio gas phase optimization is an intramolecular carboxylate ion–molecule complex (IIMC) whose formation is dictated by the need to stabilize a

**FIGURE 4.** An overlay of the transition states of anhydride formation from monophenyl esters of glutaric, succinic, and 3,6-endoxo-\(\Delta^4\)-tetrahydrophthalic acid. The black figure is the transition state of succinate, the middle gray figure is the transition state of 3,6-endoxo-\(\Delta^4\)-tetrahydrophthalate, and the light gray figure is the transition state of glutarate. Where the ester moiety overlaps, the structure is shown in black as the succinate. The shown bond lengths are shade coded with the figure.

Any differences in activation energies for NAC $\rightarrow$ TS for the three esters—a feature of the ground state. Such a difference is found in the distances between carboxylate oxygen and ester carbonyl carbon for the NACs of the three esters (3.20 Å for **I**, 3.08 Å for **IV**, and 2.93 Å for **VII**). Some finite difference in activation energies for NAC $\rightarrow$ TS for the three esters is to be expected. The contribution of this feature to the overall $\Delta G^\ddagger$ would be in the same order as the overall order of $\Delta G^\ddagger$, $\text{I} > \text{IV} > \text{VII}$, respectively.

**FIGURE 5.** Frequencies for both the intramolecular ion–molecule complex (IIMC) and transition state (TS) for the glutarate, succinate, and 3,6-endoxo-\(\Delta^4\)-tetrahydrophthalate monophenyl ester reactions. As observed, the transition states have one imaginary frequency.
carboxylate anion in the gas phase. Thus, one can only determine the energy difference between the IIMC and the TS. The IIMC is further along the reaction path than is the NAC. Comparison of activation parameters for the conversion of IIMC → TS in the reactions of esters I and IV shows very little change in \( T \Delta S^\circ \) compared to the change in \( \Delta H^\circ \). Thus, formation of ground state NACs and TS is under enthalpic control.

The rate constants for the reactions of Table 1 were determined in solution such that one would like to compute activation energies in solution. IIMCs most likely do not exist as energy minima in solution but rather as metastable species along the reaction coordinate from NAC to TS (eq 4). A cartoon of the reaction coordinates

\[
\text{conformation pool} \leftrightarrow \text{NAC} \rightarrow \text{IIMC} \rightarrow \text{TS} \rightarrow \text{IMC} \rightarrow \text{products (4)}
\]

for the processes of eq 4 in solution is provided in Figure 6. Superimposed on this cartoon is one which depicts moving the process from solution to the gas phase. In the cartoon, the gas phase and solution TSs are superimposed, representing like stabilities and structures. The assumption is made that desolvation provides the same increase in energies of all single negatively-charged species. The energy differences between IIMC and TS are seen to decrease in the order I < IV < VII, reflecting the relative ground state energies.

Unfortunately, reliable solvation calculation methods are still in their challenging stages of development such that the ability to quantitate the conclusions shown in Figure 6 is questionable. A common approach to the calculation of reaction energies in solution is to first determine the gas phase ab initio TS and nearest local minima structures on reactant and product sides of the TS (in our case IIMC and IMC), assume the structures do not change in going to the solution phase, and then calculate the energies of these structures in solution. We used the Hartree–Fock method (HF/6-31+G(d) level of theory, including diffusion functions) in conjunction with the intrinsic reaction coordinate (IRC) method to determine the structures of TS, IIMC, and IMC in the gas phase. The single point energies in solution are calculated by the continuum solvent method (SCRF-PCM).\(^{26-27}\) Results of such calculations are useful for providing general trends, but may not be good enough to allow rigorous discussion of the results. In our energy calculations in solution, we also used a hybrid density functional theory method (DFT, B3LYP)\(^26\) in conjunction with a solvent continuum method (B3LYP/6-31+G(d), B3LYP/6-31+G(d)/SCRF-SCIPCM). Upon transferring from gas phase (HF/6-31+G(d)) to solution (HF/6-31+G(d)/SCRF-SCIPCM), energy differences between IIMC and TS increase substantially (32%, 48%, and 57%, respectively). These differences decrease by a factor of ~2 using just the DFT single point energy calculations. But, when the continuum solvent method is combined with the DFT method, the energy differences increase compared to DFT, however, are lower than HF gas phase energies. Thus, the exact activation barriers are different with different methods of calculation. The overall trend, however, has the activation barriers in solution decreasing going from IIMC of I → TS, IIMC of IV → TS, to Ti of VII → TS. Since Ti is further along the reaction coordinate than is IIMC, the activation barrier from Ti → TS is expected to be smaller than that for IIMCs → TS.

We are only left with comparing the energy differences between IIMC and TS for esters I and IV. As anticipated in the cartoon of Figure 6, this energy difference is greater for ester I than for ester IV. Even this single comparison, however, is in doubt. The single point continuum solvent calculations assume that the states immediately preceding and following the transition state and the TS structure itself do not change upon going from the gas phase to solution. For a charged system, such as these monoester reactions, the gas phase geometry is certainly not the same as the solution geometry.\(^29\) More importantly, the gas phase minima of IIMC and IMC may not even exist in solution. The answer to this problem is then to optimize IIMCs and TSs in a continuum solvent method. Diffuse functions are necessary for these negatively charged monophenyl esters and TSs. The continuum solvent method systems are very costly (in time) when diffuse functions are used, and due to difficulty in converging, such calculations are often not successful.

**What General Principles, Learned in the Study of the Model System of Table 1, Can Be Applied to Enzyme Catalysis?** The data of Table 1 are offered, in many general biochemistry and advanced texts, to show the importance of the increase in entropy on bringing together reactants and stabilization of the transition state. This interpretation has had a profound influence on how enzymologists view enzyme catalysis. Thus, it is often stated in the biochemical literature that the entropy factor in bringing together of substrate and catalyst at the active site may be expected to result in a rate enhancement of 10^8. We have now shown that the driving force for the rate enhancements of Table 1 is enthalpic (in the ground state and activation, \( \Delta H^\circ \) and \( \Delta H^\circ \)).
A rational stance for the enzymologist is to consider driving forces for enzymatic reactions to arise from both ground state and transition state features. In the formation of the collective E-S species, entropy increases because translational motion is lost. Formation of NACs involves combined motions of both S and E. In our model system, formation of NACs is under enthalpy control. The frequency of formation of NACs in the enzymatic reaction is under control of the preorganization of the active site of the enzyme. It is probably not possible to judge a priori the relative importance of enthalpy and entropy to NAC formation in the ES complex. Using the data of Table 1 as a guide, ground state features can provide (dependent on the complexity of the reaction) rate enhancements of \(~10^9\) in strain free enzymatic reactions (greater if there is orbital overlap\(^5\)). One would suppose that the free energy of reaction going from NAC \(\rightarrow\) TS can then be dominated by either entropy or enthalpy, depending upon the nature of the reaction. Electrostatic forces and the heterogeneous milieu of the active site may provide up to another \(10^8\)-fold rate enhancement due to stabilization of the TS. The most efficient enzymatic reactions should have a large equilibrium constant for ES formation, a mole fraction of most efficient enzymatic reactions should have a large fold rate enhancement due to stabilization of the TS. The TSAB is calculated by

\[
\text{TSAB} = \frac{-R(T_2 - T_1)}{\ln \left( \frac{P_2}{P_1} \right)}
\]

where \(T_1\) and \(T_2\) are the temperatures at which the system is in equilibrium, \(P_1\) and \(P_2\) are the pressures at these temperatures, and \(R\) is the gas constant.

Ground State and Transition State Structures in Enzymatic Reactions

Computational observation of the periodicity of NAC formation in the E-S complex is now possible. The starting point for all such calculations is an experimentally determined structure (X-ray or NMR) of the E-S complex at high resolution (or a like structure of E-I from which E-S can be generated). Energy minimization followed by analysis of data from extensive molecular dynamics (MD) simulations allows the identification of NAC structures.

Calculation of E-TS structures is carried out as follows. If function A of the enzyme reacts with function B of the substrate to provide TS\(_{AB}\), then the gas phase structure of TS\(_{AB}\) is calculated by ab initio methods, which can also provide heavy atom isotope effects. One may safely conclude that the computed gas phase TS and enzyme bound TS share the same structure when their isotope effects are equivalent (or nearly so). If there are no experimental isotope effects for comparison, but chemical evidence indicates that the TS is not significantly altered upon transfer from the gas phase to solution, one may use the following procedures. Semiempirical QM methods (AM1, PM3) are used to generate a TS that is comparable to the ab initio TS. The semiempirical TS is incorporated into a cut-away portion of the enzyme representing the active site, and the TS and surrounding amino acid side chains are optimized. The optimized portion of the enzyme with a newly optimized TS is then reconnected into the enzyme to generate the E-TS structure. However determined, the E-TS structure, after minimization, is subjected to lengthy MD simulations to provide the numerous E1-TS, E2-TS, ..., E\(n\)-TS structures. Changes in interactions of components on E-S \(\rightarrow\) E-TS can be observed such that concepts as transition state stabilization and others can be evaluated.

Computational times increase dramatically with the size of the enzyme and the complexity of the reaction process. For this reason, ideal targets for study are enzymes of low mass catalyzing very simple displacement reactions. Reactions undergoing study in this laboratory include one step S,2 displacements on sp\(^3\) carbons and hydride reductions of sp\(^2\) carbonyl carbons. The S,2 reactions under investigation involve nucleophilic attack of catechol monoanion on the methyl of S-adenosylmethionine (cysteol O-methyltransferase, COMT) and attack of an Asp-CO\(^2\)- on a carbon of 1,2-dichloroethane with expulsion of Cl\(^-\) (haloalkane dehalogenase). There is no doubt that the driving force for both these S,2 enzymatic reactions is attributed to a proximity effect inherent to the NAC, desolvation of oxyanion nucleophiles in NAC structures, and some small contributions from TS stabilization. The ab initio calculated isotope effects of a model match those experimentally determined for the COMT enzymes,\(^30\) and thus, the QM computed TS has the same geometry as does the enzymatic reaction. Much the same is true of NAD\(^+\) reduction by formate where the computed isotope effects for a model reaction are very close to those determined experimentally for formate reductase.\(^29\)

The S,2 displacement of Cl\(^-\) from 1,2-dichloroethane (DCE) by Asp124-CO\(^2\) at the active site of Xanthobacter autotrophicus haloalkane dehalogenase (Scheme 1) was chosen on the basis that (i) TS structures for S,2 displacements of anionic leaving groups by anionic nucleophiles are comparable in gas and liquid phases\(^31\) and (ii) a crystallographic structure of the enzyme is available at 1.9 Å,\(^32\) and a structure of the enzyme with DCE at the active site is available at 2.4 Å.\(^33\) Chart 3 is a stereoview of the original crystal structure of the enzyme—substrate (E-S) complex. Molecular dynamics simulations (AMBER 4.134) of the E-S and E-TS were run at constant temperature for 540 ps.\(^10\) Chart 4 represents orthogonal views of an MD snapshot of a NAC structure of the E-S complex. The substrate is shown to be in a gauche conformation (as predicted from the ab initio reaction coordinate for the reaction of CH\(_2\)CO\(^2\)- with DCE\(^35\) while the crystal structure shows DCE in a trans conformation.\(^33\) The NAC structure of Chart 4 is formed when Water323 dissociates from the nucleophilic oxygen (OD2) of Asp124-CO\(^2\), and the latter approaches to 3 Å from C(1) of DCE. In this NAC structure, OD2 of Asp124-CO\(^2\)- is in-line with the departing Cl(1) which is hydrogen bonded to the indole HN of Trp125. The E-TS structure was constructed as follows. The ab initio and semiempirical (PM3\(^36\)) transition states\(^35\) for the S,2 displacement of Cl\(^-\) from DCE by CH\(_3\)CO\(^2\)- are much alike and closely resemble the TS in solvent. A model for E-TS consisted of the PM3 generated TS surrounded by the 14 amino acids that make up the active site.\(^37\) This model structure was optimized and placed back into the enzyme with the overlapping
parts of the enzyme deleted to provide the complete E-TS. Throughout the MD simulation of E-TS, the TS moiety was maintained by applying large force constants on the making and breaking bonds and associated $O^{-} ... C\cdots Cl^{-}$ angle. Chart 5 is a stereoview snapshot of the MD simulated E-TS. Trp125 which is hydrogen bonded to the chloro substituent in E-S is joined by Trp175 in hydrogen bonding to the leaving chloride in E-TS. Most interesting is the finding that, during the simulation of the TS, a hydrogen bonding matrix forms which includes three water molecules. Water323 and Water396 are held in such a manner that they exactly position a third Water392 as a nucleophile to attack the ester carbonyl of Asp124-CO$_2^{-}$-CH$_2$CH$_2$-Cl which is formed after Cl$^{-}$ departs from TS (Scheme 2). Thus, accompanying the formation of the TS for the first step of the reaction is the formation of the ground state triad Asp260-CO$_2^{-}$-H•••H-Glu289-OD2-Ho392 (Chart 5) for hydrolysis of the intermediate ester in the second step of the reaction (Scheme 2), an example of inseparable ground and transition states.

**NAC Formation by the Anisotropic Motions of Enzyme Bound NAD(P)H.** 1,4-Dihydrnicotinamides possess two quasi-boat conformations that are interconvertible by a wagging motion such that H$_R$ is axial in one
conformation and $H_S$ is axial in the other (Chart 6). Examination of the MD simulations of dehydrogenase enzymes with substrate and NAD(P)H at the active site shows that only one of the two possible quasi-boat conformations exists.\(^{38-41}\) In this conformation, the bending is in the direction of the substrate. Thus, in enzymatic reactions where $H_R$ is transferred as hydride from NAD(P)H to substrate, the quasi-boat conformation has $H_R$ axial, and in a similar manner, when $H_S$ is transferred to substrate, $H_S$ is axial. This anisotropic character is brought about by bulky substituents (lactate dehydrogenase, Ile249 and Val136; dihydrofolate reductase, Phe102; malate dehydrogenase, Ala245 and Leu157; glyceraldehyde-3-P dehydrogenase, Ile12 and Tyr317; horse liver alcohol dehydrogenase, Val203) which are located on the face of the dihydropyridine ring distal to the substrate and axial hydrogen (Chart 7).\(^{39}\) The quasi-boat conformations calculated by \textit{ab initio} methods are characterized by $R_C > R_N$ as seen in Figure 7.\(^{29}\)

The NAC structures are associated with formation of the quasi-boat conformation. Thus, in MD simulations with dog-fish lactate dehydrogenase\(^{39}\) containing pyruvate and NAD(P)H at the active site, the distance between the transferring $H_R$ and pyruvate carbonyl is 2.5 Å when the dihydropyridine ring is planar and 1.5 Å when in the boat form. In the dehydrogenases which have been examined, the bent conformation with axial-H and substrate carbon in close proximity (the NAC) are present the majority of the time (Figure 7).\(^{39,41}\) (An exception is formate reductase, work in progress.) The closeness of approach of reactants in these NAC structures, and the lessened bond energy of $C(4)-$axial-$H$ as compared to $C(4)-$equatorial-$H$,\(^{39}\) is proposed to provide a kinetic advantage to hydride transfer.

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\begin{thebibliography}{9}
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